

## HW 2 — Due: Feb 21

Lecturer: Asst. Prof. Dr. Prapun Suksompong

**Instructions**

- (a) This assignment has 4 pages.
- (b) (1 pt) Write your first name and the last three digit of your student ID on the upper-right corner of *every* submitted sheet.
- (c) (1 pt) For each part, write your explanation/derivation and answer in the space provided.
- (d) (8 pt) It is important that you try to solve all non-optional problems.
- (e) Late submission will be heavily penalized.

**Problem 1.** Consider a random variable  $X$  whose support is  $S_X = \{x_1, x_2, \dots, x_n\}$ . Let  $p_k = p_X(x_k)$ . Then, the entropy of  $X$  is

$$H(X) = - \sum_{k=1}^n p_X(x_k) \log_2 p_X(x_k) = - \sum_{k=1}^n p_k (\log_2 p_k).$$

As discussed in class, observe that the entropy of  $X$  does not depend on the specific values  $x_1, x_2, \dots, x_n$  in its support. The entropy is a function of the probability values  $p_1, p_2, \dots, p_n$  in the pmf. Therefore, to calculate entropy, it is enough to specify these probabilities. From this observation, we may write the entropy as a function  $H(\underline{\mathbf{p}})$  of a probability vector  $\underline{\mathbf{p}}$  constructed from (positive probabilities in) the pmf.

In general, given a probability vector  $\underline{\mathbf{p}} = [p_1, p_2, \dots, p_n]$  whose elements are nonnegative and sum to one, we calculate the corresponding entropy value by

$$H(\underline{\mathbf{p}}) = - \sum_{k=1}^n p_k (\log_2 p_k).$$

In each row of the following table, compare the entropy value in the first column with the entropy value in the third column by writing “>”, “=”, or “<” in the second column. Watch out for approximation and round-off error.

$H(\underline{\mathbf{p}})$ when $\underline{\mathbf{p}} = [0.3, 0.7]$ .		$H(\underline{\mathbf{q}})$ when $\underline{\mathbf{q}} = [0.8, 0.2]$ .
$H(\underline{\mathbf{p}})$ when $\underline{\mathbf{p}} = [0.3, 0.3, 0.4]$ .		$H(\underline{\mathbf{q}})$ when $\underline{\mathbf{q}} = [0.4, 0.3, 0.3]$ .
$H(X)$ when $p(x) = \begin{cases} 0.3, & x \in \{1, 2\}, \\ 0.2, & x \in \{3, 4\}, \\ 0, & \text{otherwise.} \end{cases}$		$H(\underline{\mathbf{q}})$ when $\underline{\mathbf{q}} = [0.4, 0.3, 0.3]$ .

**Problem 2.** A memoryless source emits two possible message Y(es) and N(o) with probability 0.9 and 0.1, respectively.

- (a) Determine the entropy (per source symbol) of this source.
  
- (b) Find the expected codeword length per symbol of the Huffman binary code for the third-order extensions of this source.
  
- (c) Use MATLAB to find the expected codeword length **per (source) symbol** of the Huffman binary code for the fourth-order extensions of this source.
  - (i) Put your answer here.
  
  - (ii) Attach the **printout** (not handwritten) of your MATLAB script (**highlighting** the modified parts if you start from the provided class example) and the expression/results displayed in the command window.

- (d) Use **MATLAB** to plot the expected codeword length **per (source) symbol** of the Huffman binary code for the  $n$ th-order extensions of this source for  $n = 1, 2, \dots, 8$ . Attach the **printout** of your plot.

**Problem 3.** Consider a BSC whose crossover probability for each bit is  $p = 0.35$ . Suppose  $P[X = 0] = 0.45$ .

- (a) Draw the channel diagram.
- (b) Find the channel matrix  $\mathbf{Q}$ .
- (c) Find the joint pmf matrix  $\mathbf{P}$ .
- (d) Find the row vector  $\underline{\mathbf{q}}$  which contains the pmf of the channel output  $Y$ .

**Problem 4.** Consider a DMC whose  $\mathcal{X} = \{1, 2, 3\}$ ,  $\mathcal{Y} = \{1, 2, 3\}$ , and  $\mathbf{Q} = \begin{bmatrix} 0.5 & 0.2 & 0.3 \\ 0.3 & 0.4 & 0.3 \\ 0.2 & 0.2 & 0.6 \end{bmatrix}$ .

Suppose the input probability vector is  $\underline{\mathbf{p}} = [0.2, 0.4, 0.4]$ .

- (a) Find the joint pmf matrix  $\mathbf{P}$ .

(b) Find the row vector  $\underline{\mathbf{q}}$  which contains the pmf of the channel output  $Y$ .

**Problem 5.** Consider a BAC whose  $Q(1|0) = 0.35$  and  $Q(0|1) = 0.55$ . Suppose  $P[X = 0] = 0.4$ .

(a) Draw the channel diagram.

(b) Find the joint pmf matrix  $\mathbf{P}$ .

(c) Find the row vector  $\underline{\mathbf{q}}$  which contains the pmf of the channel output  $Y$ .

## Extra Question

Here is an optional question for those who want more practice.

**Problem 6. *Optimal code lengths that require one bit above entropy:*** The source coding theorem says that the Huffman code for a random variable  $X$  has an expected length strictly less than  $H(X) + 1$ . Give an example of a random variable for which the expected length of the Huffman code (without any source extension) is very close to  $H(X) + 1$ .