

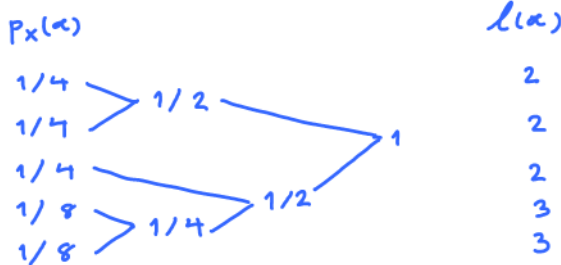
# ECS 452: Quiz 1

## Instructions

1. Separate into groups of no more than three persons.
2. Only one submission is needed for each group.
3. **Write down all the steps** that you have done to obtain your answers. You may not get full credit even when your answer is correct without showing how you get your answer.
4. **Do not panic.**

Name	ID

1. Consider a random variable X which has five possible values. Their probabilities are 1/4, 1/4, 1/4, 1/8, 1/8.
  - a. Find the expected codeword length when Huffman coding is used without extension.



$$E[l(X)] = 3 \times 2 \times \frac{1}{4} + 2 \times 3 \times \frac{1}{8}$$

$$= \frac{6}{4} + \frac{3}{4} = \frac{9}{4} = 2.25 \text{ bits/symbol}$$

- b. Find the entropy (per symbol) of this random variable.

$$H(X) = \sum_x p_x(x) \log_2 p_x(x) = -3 \times \frac{1}{4} \log_2 \frac{1}{4} - 2 \times \frac{1}{8} \log_2 \frac{1}{8}$$

$$= 3 \times \frac{2}{4} + 2 \times \frac{3}{8} = \frac{9}{4} = 2.25 \text{ bits/symbol}$$

2. No need to provide any explanation for this question.

Consider a DMC whose samples of input and output are provided below

x: 1 1 1 0 1 0 1 0 1 1 1 1 1 1 1  
 y: 1 1 1 0 1 0 1 1 1 1 1 1 1 1 1

Estimate the following quantities:

a.  $P[X=0] \approx \frac{3}{15} = \frac{1}{5} = 0.2$

e.  $P[Y=0 | X=0] \approx \frac{2}{3} \approx 0.667$

b.  $p(1) \equiv P[X=1] \approx \frac{12}{15} = \frac{4}{5} = 0.8$

f.  $p_{Y|X}(1|0) \equiv \frac{P[Y=1 | X=0]}{\approx \frac{1}{3} \approx 0.333}$

c.  $p_Y(0) \equiv P[Y=0] \approx \frac{2}{15} \approx 0.133$

g.  $Q(0|1) \equiv \frac{P[Y=0 | X=1]}{\approx \frac{0}{15} = 0}$

d.  $q(1) \equiv P[Y=1] \approx \frac{13}{15} \approx 0.867$

h.  $Q(1|1) \equiv \frac{P[Y=1 | X=1]}{\approx \frac{13}{15} = 1}$

i. Matrix Q  $\approx \begin{matrix} & \begin{matrix} 0 & 1 \end{matrix} \\ \begin{matrix} 0 \\ 1 \end{matrix} & \begin{bmatrix} 2/3 & 1/3 \\ 0 & 1 \end{bmatrix} \end{matrix}$

j.  $P[X=0, Y=0] \approx \frac{2}{15}$  ← Note that this is the same as  $P[Y=0 | X=0] P[X=0]$

$$\frac{2}{3} \times \frac{1}{5} = \frac{2}{15}$$

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Prapun	555

1. Consider a DMC whose  $\mathcal{X} = \{1, 2, 3\}$ ,  $\mathcal{Y} = \{1, 2, 3, 4\}$ , and  $\mathbf{Q} = \begin{bmatrix} 0.2 & 0.6 & 0.1 & 0.1 \\ 0.1 & 0.7 & 0.1 & 0.1 \\ 0.3 & 0.3 & 0.3 & 0.1 \end{bmatrix}$ .

Suppose the prior probability vector is  $\mathbf{p} = [0.2 \quad 0.1 \quad 0.7]$ .

a. Find the joint pmf matrix  $\mathbf{P}$ .

Multiply each row in the  $\mathbf{Q}$  matrix by its corresponding  $p(x)$

$$\mathbf{Q} = \begin{matrix} x \backslash y & 1 & 2 & 3 & 4 \\ \begin{matrix} 1 \\ 2 \\ 3 \end{matrix} & \begin{bmatrix} 0.2 & 0.6 & 0.1 & 0.1 \\ 0.1 & 0.7 & 0.1 & 0.1 \\ 0.3 & 0.3 & 0.3 & 0.1 \end{bmatrix} \end{matrix} \begin{matrix} \xrightarrow{\times 0.2} \\ \xrightarrow{\times 0.1} \\ \xrightarrow{\times 0.7} \end{matrix} \begin{matrix} x \backslash y & 1 & 2 & 3 & 4 \\ \begin{matrix} 1 \\ 2 \\ 3 \end{matrix} & \begin{bmatrix} 0.04 & 0.12 & 0.02 & 0.02 \\ 0.01 & 0.07 & 0.01 & 0.01 \\ 0.21 & 0.21 & 0.21 & 0.07 \end{bmatrix} \end{matrix} = \mathbf{P}$$

b. Find the MAP detector and its error probability.

For each column of the  $\mathbf{P}$  matrix, select the max value.

$$\mathbf{P} = \begin{matrix} x \backslash y & 1 & 2 & 3 & 4 \\ \begin{matrix} 1 \\ 2 \\ 3 \end{matrix} & \begin{bmatrix} 0.04 & 0.12 & 0.02 & 0.02 \\ 0.01 & 0.07 & 0.01 & 0.01 \\ 0.21 & 0.21 & 0.21 & 0.07 \end{bmatrix} \end{matrix}$$

The corresponding  $x$ -value for the selected value in each column.

$P(\mathcal{C}) = 0.21 + 0.21 + 0.21 + 0.07 = 0.7$   
 $P(\mathcal{E}) = 1 - P(\mathcal{C}) = 1 - 0.7 = 0.3$

$\hat{x}_{\text{MAP}}(y) \equiv 3$ .

$y$	$\hat{x}_{\text{MAP}}(y)$
1	3
2	3
3	3
4	3

c. Find the ML detector and its error probability.

For each column of the  $\mathbf{Q}$  matrix, select the max value.

$$\mathbf{Q} = \begin{matrix} x \backslash y & 1 & 2 & 3 & 4 \\ \begin{matrix} 1 \\ 2 \\ 3 \end{matrix} & \begin{bmatrix} 0.2 & 0.6 & 0.1 & 0.1 \\ 0.1 & 0.7 & 0.1 & 0.1 \\ 0.3 & 0.3 & 0.3 & 0.1 \end{bmatrix} \end{matrix} \begin{matrix} \xrightarrow{\times 0.2} \\ \xrightarrow{\times 0.1} \\ \xrightarrow{\times 0.7} \end{matrix} \begin{matrix} x \backslash y & 1 & 2 & 3 & 4 \\ \begin{matrix} 1 \\ 2 \\ 3 \end{matrix} & \begin{bmatrix} 0.04 & 0.12 & 0.02 & 0.02 \\ 0.01 & 0.07 & 0.01 & 0.01 \\ 0.21 & 0.21 & 0.21 & 0.07 \end{bmatrix} \end{matrix} = \mathbf{P}$$

Select the same elements as in the  $\mathbf{Q}$  matrix.

The corresponding  $x$ -value for the selected value in each column

All values in the last column are the same. So, we can use any of them.

$P(\mathcal{C}) = 0.21 + 0.07 + 0.21 + 0.02 = 0.51$   
 $P(\mathcal{E}) = 1 - 0.51 = 0.49$

Alternative answer

$y$	$\hat{x}_{\text{ML}}(y)$
1	3
2	2
3	3
4	1

$P(\mathcal{C}) = 0.21 + 0.07 + 0.21 + 0.01 = 0.50$   
 $P(\mathcal{E}) = 1 - 0.5 = 0.5$

Another alternative answer

$y$	$\hat{x}_{\text{ML}}(y)$
1	3
2	2
3	3
4	3

$P(\mathcal{C}) = 0.21 + 0.07 + 0.21 + 0.07 = 0.56$   
 $P(\mathcal{E}) = 1 - 0.56 = 0.44$

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1. Consider two random variables  $X$  and  $Y$  whose joint pmf matrix is given by  $\mathbf{P} = \begin{bmatrix} \frac{1}{2} & \frac{1}{6} \\ \frac{1}{6} & \frac{1}{6} \end{bmatrix}$ . Find  $I(X;Y)$ .

We use the formula  $I(X;Y) = H(X) + H(Y) - H(X,Y)$ .

$H(X,Y)$  can be found directly from the elements in the  $\mathbf{P}$  matrix:

$$H(X,Y) = -\frac{1}{2} \log_2 \frac{1}{2} - 3 \times \frac{1}{6} \log_2 \frac{1}{6} = \frac{1}{2} (-\log_2 \frac{1}{2} - \log_2 \frac{1}{6}) = \frac{1}{2} \log_2 12 \approx 1.7925$$

$H(X)$  and  $H(Y)$  can be found by first finding  $p(x)$  and  $q(y)$  from the  $\mathbf{Q}$  matrix:

$$\mathbf{P} = \begin{bmatrix} \frac{1}{2} & \frac{1}{6} \\ \frac{1}{6} & \frac{1}{6} \end{bmatrix} \rightarrow \begin{matrix} \frac{2}{3} \\ \frac{1}{3} \end{matrix}$$

↓            ↓  
 $\frac{2}{3}$      $\frac{1}{3}$

$$H(X) = -\frac{2}{3} \log_2 \frac{2}{3} - \frac{1}{3} \log_2 \frac{1}{3} = 0.9183$$

$$H(Y) = 0.9183$$

$q(y)$  has same probability values as  $p(x)$

So,  $I(X;Y) \approx 2 \times 0.9183 - 1.7925 = 0.0441$

2. Consider two random variables  $X$  and  $Y$  whose  $\mathbf{p} = \begin{bmatrix} \frac{1}{3} & \frac{2}{3} \end{bmatrix}$  and  $\mathbf{Q} = \begin{bmatrix} \frac{1}{6} & \frac{5}{6} \\ \frac{2}{3} & \frac{1}{3} \end{bmatrix}$ . Find  $I(X;Y)$ .

First, we find the  $\mathbf{P}$  matrix.

Then, we follow the same steps as in question (1).

$$\mathbf{P} = \begin{bmatrix} \frac{1}{18} & \frac{5}{18} \\ \frac{4}{9} & \frac{2}{9} \end{bmatrix} \rightarrow \begin{matrix} \frac{6}{18} = \frac{1}{3} \\ \frac{6}{9} = \frac{2}{3} \end{matrix}$$

↓            ↓  
 $\frac{3}{18}$      $\frac{3}{18}$   
 $\frac{1}{2}$        $\frac{1}{2}$

$$H(X) \approx 0.9183$$

$$H(Y) = \log_2 2 = 1$$

uniform

$$H(X,Y) = -\frac{1}{18} \log_2 \frac{1}{18} - \frac{5}{18} \log_2 \frac{5}{18} - \frac{4}{9} \log_2 \frac{4}{9} - \frac{2}{9} \log_2 \frac{2}{9} \approx 1.7472$$

$$I(X;Y) \approx H(X) + H(Y) - H(X,Y) = 0.9183 + 1 - 1.7472 = 0.1711$$

3. Consider two random variables  $X$  and  $Y$  whose  $\mathbf{Q} = \begin{bmatrix} \frac{1}{6} & \frac{5}{6} \\ \frac{1}{6} & \frac{5}{6} \end{bmatrix}$ . Find  $I(X;Y)$ .

Note that the two rows in  $\mathbf{Q}$  are identical. This means  $Q(y|x)$  does not depend on  $x$ . In other words, knowing the value of  $X$  does not change the (conditional) pmf of  $Y$ . Therefore,  $X$  and  $Y$  are independent which implies  $I(X;Y) = 0$ .

See next page for a more direct solution.

Remark: Normally, to calculate  $I(X;Y)$  you will need both  $p$  and  $Q$ .

So, there must be something special about  $Q$  that allows you to get  $I(X;Y)$  without  $p$ .

Direct calculation:

$$H(Y|X) = H\left[\begin{matrix} 1/6 & 5/6 \\ 1/6 & 5/6 \end{matrix}\right] \approx 0.65 \text{ for any } \alpha.$$

$$\text{So, } H(Y|X) = \sum_{\alpha} p(\alpha) H(Y|X) \approx 0.65 \underbrace{\sum_{\alpha} p(\alpha)}_1 \approx 0.65.$$

$I(X;Y) = H(Y) - H(Y|X)$ . So, we need  $H(Y)$  which in turn need  $q(Y)$

Let's try  $p(\alpha) = \begin{cases} 1-p, & \alpha=0 \\ p, & \alpha=1 \\ 0, & \text{otherwise} \end{cases}$

Then,  $\begin{matrix} P & Q \\ [1-p & p] & \begin{bmatrix} 1/6 & 5/6 \\ 1/6 & 5/6 \end{bmatrix} \end{matrix} = \begin{matrix} Q \\ \left[ \frac{1}{6} & \frac{5}{6} \right] \end{matrix} \Rightarrow H(Y) = H\left[\begin{matrix} 1/6 & 5/6 \\ 1/6 & 5/6 \end{matrix}\right] = H(Y|X)$

↑  
regardless of  
the value of  $p$

Therefore,  $I(X;Y) = H(Y) - H(Y|X) = 0$ .